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STATEMENT UNDER 37 CFR 3.73(b)

Application No./Patent No.: 10/738,423 Filed/Issue Date: 12/16/2003 Titled: COMPOSITIONS AND METHODS FOR TUMOR-TARGETED DELIVERY OF EFFECTOR MOLECULES Nanotherapeutics, Inc	Applicant	Patent Owner: Nanotherapeutics,	Inc.		
Nanotherapeutics, Inc				iled/Issue Date: 12/16/2003	
(Name of Assignee) (Type of Assignee, e.g., corporation, partmership, university, government agency, etc.) states that it is: 1.	Titled:	compositions and methods for tumor-targeted delivery of effector molecules			
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc. states that it is: 1.	Nanothe	rapeutics, Inc.	a corporation		
1.	(Name of Assignee) (Type of As			gnee, e.g., corporation, partnership, university, government agency, etc.	
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is	states that	at it is:			
(The extent (by percentage) of its ownership interest is	1. 🛚	the assignee of the entire right, title	, and interest in;		
the patent application/patent identified above, by virtue of either: A.	2.				
An assignment from the Inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, ror for which a copy therefore is attached. OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: 1. From: Vion Pharmaceuticals, Inc, To: Nanotherapeutics, Inc, Nanotherapeutics, Inc, To: Nanotherapeutics, Inc, Nanotherapeutics, Inc, Or for which a copy thereof is attached. 2. From:, Frame, or for which a copy thereof is attached. 2. From:, Frame, or for which a copy thereof is attached. 3. From:, Frame, or for which a copy thereof is attached. 3. From:, Frame, or for which a copy thereof is attached. Additional document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet(s). As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTC. See MPEP 302.08] The updesdinger two or Typed Name Attorney for Applicant Title	3.	the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)			
the United States Patent and Trademark Office at Reel, Frame, or for which a copy therefore is attached. OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: 1. From: Vion Pharmaceuticals, Inc	the pater	nt application/patent identified above, b	y virtue of either:		
B. \(\times \) A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: 1. From: Vion Pharmaceuticals, Inc. To: Nanotherapeutics, Inc. The document was recorded in the United States Patent and Trademark Office at Reel 026235 , Frame 0568 , or for which a copy thereof is attached. 2. From: To: The document was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached. 3. From: To: The document was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached. Additional documents was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet(s). As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08] The updescing of the complete of the submitted to act on behalf of the assignee. August 3, 2011 Date Marc D. Evans Printed or Typed Name	А. 🗌	the United States Patent and Trademark Office at Reel, Frame, or for which a			
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Marc D. Evans Printed or Typed Name Attorney for Applicant Title	_///	les Jan			
Printed or Typed Name Title	/ !	Signature		Date	
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process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Cliffce, U.S. Patent and Trademark Office, U.S.

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